

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Confirmation No. 5364

Naoki YAMAMOTO et al.

Attorney Docket No. 2005 1975A

Serial No. 10/563,797

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Filed January 9, 2006

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# SUBMISSION OF ENGLISH VERSIONS OF INTERNATIONAL PRELIMINARY REPORT ON PATENTABILITY AND WRITTEN OPINION OF THE INTERNATIONAL SEARCHING AUTHORITY

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Submitted herewith for consideration by the Examiner are:

- 1) An English version of the International Preliminary Report on Patentability; and
- 2) An English version of the Written Opinion of the International Searching

Authority.

Respectfully submitted,

Naoki YAMAMOTO et al.

Bv

Michael S. Huppert Registration No. 40,268 Attorney for Applicants

MSH/kjf Washington, D.C. 20006-1021 Telephone (202) 721-8200 Facsimile (202) 721-8250 June 8, 2006

#### PATENT COOPERATION TREATY

### From the INTERNATIONAL BUREAU

### PCT

NOTIFICATION OF TRANSMITTAL
OF COPIES OF TRANSLATION
OF THE INTERNATIONAL PRELIMINARY REPORT
ON PATENTABILITY
(CHAPTER I OR CHAPTER II

OF THE PATENT COOPERATION TREATY)

(PCT Rules 44bis.3(c) and 72.2)

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**JAPON** 

Date of mailing (day/month/year) 18 May 2006 (18.05.2006)	
Applicant's or agent's file reference P35309-P0	IMPORTANT NOTIFICATION
International application No. PCT/JP2004/011303	International filing date (day/month/year) 30 July 2004 (30.07.2004)
Applicant MATSUSHIT	TA ELECTRIC INDUSTRIAL CO., LTD. et al

1. Transmittal of the translation to the applicant.

~	The International Bureau transmits herewith a copy of the English translation of the international preliminary report or
	patentability (Chapter I).

The International Bureau transmits herewith a copy of the English translation of the international preliminary report on patentability (Chapter II).

2. Transmittal of the copy of the translation to the designated or elected Offices.

The International Bureau notifies the applicant that copies of that translation have been transmitted to the following designated or elected Offices requiring such translation:

None

The following designated or elected Offices, having waived the requirement for such a transmittal at this time, will receive copies of that translation from the International Bureau only upon their request:

AE, AG, AL, AM, AP, AT, AU, AZ, BA, BB, BG, BR, BW, BY, BZ, CA, CH, CN, CO, CR, CU, CZ, DE, DK, DM, DZ, EA, EC, EE, EG, EP, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NA, NI, NO, NZ, OA, OM, PG, PH, PL, PT, RO, RU, SC, SD, SE, SG, SK, SL, SY, TJ, TM, TN, TR, TT, TZ, UA, UG, US, UZ, VC, VN, YU, ZA, ZM, ZW

3. Reminder regarding translation into (one of) the official language(s) of the elected Office(s).

The applicant is reminded that, where a translation of the international application must be furnished to an elected Office, that translation must contain a translation of any annexes to the international preliminary report on patentability (Chapter II).

It is the applicant's responsibility to prepare and furnish such translation directly to each elected Office concerned within the applicable time limit (Rule 74.1). See Volume  $\Pi$  of the PCT Applicant's Guide for further details.

The International Bureau of WIPO 34, chemin des Colombettes 1211 Geneva 20, Switzerland

Authorized officer

Yoshiko Kuwahara

Facsimile No.+41 22 740 14 35 Facsimile No.+41 22 338 90 90

Form PCT/IB/338 (January 2004)

### PATENT COOPERATION TREATY

# **PCT**

### INTERNATIONAL PRELIMINARY REPORT ON PATENTABILITY

(Chapter I of the Patent Cooperation Treaty)

(PCT Rule 44bis)

Applicant's or agent's file reference P35309-P0	FOR FURTHER ACTION	See item 4 below		
International application No. PCT/JP2004/011303	International filing date (day/month/year) 30 July 2004 (30.07.2004)	Priority date (day/month/year) 05 August 2003 (05.08.2003)		
International Patent Classification (8th edition unless older edition indicated) See relevant information in Form PCT/ISA/237				
Applicant MATSUSHITA ELECTRIC INDUSTRIAL CO., LTD.				

1.	. This international preliminary report on patentability (Chapter I) is issued by the International Bureau on behalf of the International Searching Authority under Rule 44 bis.1(a).			
2.	This REPORT consists of a total of 4 sheets, including this cover sheet.			
	In the attached sheets, any reference to the written opinion of the International Searching Authority should be read as a reference to the international preliminary report on patentability (Chapter I) instead.			
3.	3. This report contains indications relating to the following items:			
	Box No. I	Basis of the report		
	Box No. II	Priority		
	Box No. III	Non-establishment of opinapplicability	nion with regard to novelty, inventive step and industrial	
	Box No. IV	Lack of unity of invention	n	
	Box No. V	Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement		
	Box No. VI	Certain documents cited		
	Box No. VII Certain defects in the international application			
	Box No. VIII Certain observations on the international application			
4.	4. The International Bureau will communicate this report to designated Offices in accordance with Rules 44bis.3(c) and 93bis.1 but not, except where the applicant makes an express request under Article 23(2), before the expiration of 30 months from the priority date (Rule 44bis.2).			
			Date of issuance of this report 08 May 2006 (08.05.2006)	
The International Bureau of WIPO 34, chemin des Colombettes		lombettes	Authorized officer Yoshiko Kuwahara	
1211 Geneva 20, Switzerland Facsimile No. +41 22 740 14 35		witzerland	Telephone No. +41 22 338 90 90	

Form PCT/IB/373 (January 2004)

#### PATENT COOPERATION TREATY

TRANSLATION From the INTERNATIONAL SEARCHING AUTHORITY WRITTEN OPINION OF THE INTERNATIONAL SEARCHING AUTHORITY (PCT Rule 43bis.1) Date of mailing (day/month/year) Applicant's or agent's file reference FOR FURTHER ACTION P35309-P0 See paragraph 2 below International filing date (day/month/year) International application No. Priority date (day/month/year) PCT/JP2004/011303 30.07.2004 05.08.2003 International Patent Classification (IPC) or both national classification and IPC Applicant MATSUSHITA ELECTRIC INDUSTRIAL CO., LTD. This opinion contains indications relating to the following items: Box No. I Basis of the opinion Box No. II Priority Box No. III Non-establishment of opinion with regard to novelty, inventive step and industrial applicability Box No. IV Lack of unity of invention Reasoned statement under Rule 43bis.1(a)(i) with regard to novelty, inventive step or industrial Box No. V applicability; citations and explanations supporting such statement Box No. VI Certain documents cited Box No. VII Certain defects in the international application Box No. VIII Certain observations on the international application FURTHER ACTION If a demand for international preliminary examination is made, this opinion will be considered to be a written opinion of the International Preliminary Examining Authority ("IPEA") except that this does not apply where the applicant chooses an Authority other than this one to be the IPEA and the chosen IPEA has notified the International Bureau under Rule 66.1bis(b) that written opinions of this International Searching Authority will not be so considered. If this opinion is, as provided above, considered to be a written opinion of the IPEA, the applicant is invited to submit to the IPEA a written reply together, where appropriate, with amendments, before the expiration of 3 months from the date of mailing of Form PCT/ISA/220 or before the expiration of 22 months from the priority date, whichever expires later. For further options, see Form PCT/ISA/220. For further details, see notes to Form PCT/ISA/220. Authorized officer Name and mailing address of the ISA/JP

Telephone No.

Facsimile No.

# WRITTEN OPINION OF THE INTERNATIONAL SEARCHING AUTHORITY

International application No.
PCT/JP2004/011303

Box	x No. I Basis of this opinion
1.	With regard to the language, this opinion has been established on the basis of the international application in the language in which it was filed, unless otherwise indicated under this item.
	This opinion has been established on the basis of a translation from the original language into the following language
	, which is the language of a translation furnished for the purposes of international search (under
	Rule 12.3 and 23.1(b)).
2.	With regard to any nucleotide and/or amino acid sequence disclosed in the international application and necessary to the claimed invention, this opinion has been established on the basis of:
•	a. type of material
	a sequence listing
	table(s) related to the sequence listing
	b. format of material
	in written format
	in computer readable form
	c. time of filing/furnishing
	contained in the international application as filed.
	filed together with the international application in computer readable form.
	furnished subsequently to this Authority for the purposes of search.
3.	In addition, in the case that more than one version or copy of a sequence listing and/or table(s) relating thereto has been filed or furnished, the required statements that the information in the subsequent or additional copies is identical to that in the application as filed or does not go beyond the application as filed, as appropriate, were furnished.
4.	Additional comments:
1	
1	

## WRITTEN OPINION OF THE INTERNATIONAL SEARCHING AUTHORITY

International application No.
PCT/JP2004/011303

Box No. V Reasoned statement under Recitations and explanations su			the 43bis.1(a)(i) with regard to novelty, inventive step or industrial applicability; oporting such statement		
1.	Statement				-
	Novelty (N	I)	Claims	1-34	YES
			Claims		МО
	Inventive s	step (IS)	Claims		YES
			Claims	1-34	NO
	Industrial :	applicability (IA)	Claims	1-34	YES
			Claims		NO

#### 2. Citations and explanations:

Document 1: Makoto Tatebayashi, Toshiharu Harada, Yoshihisa Fukushima, Hideyuki Ishihara, "Recording Media Content Protection System", 2000, The Institute of Electronics, Information, and Communication Engineers Foundation – Kyoukai Society Taikai Koen Ronbunshu, 07, September, 2000 (07.09.00), pp. 367-368

Document 2: JP, 2000-23137, A (Matsushita Electric Industrial Co., Ltd.), 21 January, 2000 (21.01.00)

Document 3: JP, 8-181689, A (Sony Corp.), 12 July, 1996 (12.07.96)

The subject matters of claims 1-5, 7-24, 28-34 do not appear to involve an inventive step according to documents 1 and 2 cited in the ISR. Utilizing technology that performs decoding and password protection generating a password key for each category described in document 2 to a copyright protection system that decodes content based on invalidated data of the device key described in document 1 is easy for a person skilled in the art.

The subject matters of claims 6, 25-27 do not appear to involve an inventive step according to documents 1 and 2 and 3 (paragraph [0025], [0028]-[0033], [0054]-[0067], figs. 1, 2, 7) cited in the ISR. Technology that decodes and performs multiple password protection of data that uses a key managed by each category is described in document 3 and was common technology even before this invention's application. Further, the utilizing of technology that performs decoding and password protection generating a password key for each category described in document 2 to a copyright protection system that decodes content based on invalidated data of the device key described in document 1 is easy for a person skilled in the art.